

## ANNEXURE-VII

## FORM NO. MGT-9

## EXTRACT OF ANNUAL RETURN AS ON THE FINANCIAL YEAR ENDED ON 31st MARCH, 2020

[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS:

CIN	L15142DL1991PLC046469
Registration Date	25/11/1991
Name of the Company	Superior Industrial Enterprises Limited
Category / Sub-Category of the Company	Manufacturing
Address of the Registered office and contact details	25, Bazar Lane, Bengali Market, New Delhi-110 001 Contact:011 43585000; Id: info@superiorindustrial.in
Whether listed Company	Yes
Name, Address and Contact details of Registrar and Transfer Agent, if any	Mas Services Limited T-34, 2 <sup>nd</sup> Floor, Okhla Industrial Area Phase-II, New Delhi-110 020 Contact: 91-11-2638 7281 Id :info@masserv.com

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10 % or more of the total turnover of the Company shall be stated:-

Name and Description of main products / services	NIC Code of the Product/ service	% to total turnover of the Company
Corrugate Boxes	17022	100%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

Sl. No.	Name & Address of the Company	CIN/GLN	Holding/ Subsidiary/Associate	%age of Shares held	Applicable Section
1	Hindustan Aqua Private Limited. 25, Bazar Lane, Bengali Market, New Delhi- 110001	U65929DL1986PTC025956	Associate	38.80%	2(6)
2	Babri Polypet Private Limited. 25, Bazar Lane, Bengali Market, New Delhi- 110001	U25200DL2014PTC273049	Subsidiary	51%	2(87)

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoter									
<b>(1) Indian</b>									

Individual/ HUF	1272797	80000	1352797	9.77	1272797	80000	1352797	9.77	0
Central Govt	-	-	-	-	-	-	-	-	0
State Govt(s)	-	-	-	-	-	-	-	-	0
Bodies Corp	5052739	-	5052739	36.48	5142739	35000	5177739	37.38	.90
Banks / FI	90000	35000	125000	0.90	-	-	-	-	(.90)
Any Other	-	-	-	-	-	-	-	-	0
<b>Sub- total(A)(1): -</b>	<b>6415536</b>	<b>115000</b>	<b>6530536</b>	<b>47.15</b>	<b>6415536</b>	<b>115000</b>	<b>6530536</b>	<b>47.15</b>	<b>0</b>
<b>(2) Foreign</b>									
NRI- Individuals	0	0	0	0	0	0	0	0	0
Other- Individuals	0	0	0	0	0	0	0	0	0
Bodies Corp.	0	0	0	0	0	0	0	0	0
Banks / FI	0	0	0	0	0	0	0	0	0
Any Other....	0	0	0	0	0	0	0	0	0
Sub-total (A)(2):-	0	0	0	0	0	0	0	0	0
<b>Total Sharehold ing of Promoter (A) = (A)(1)+ (A)(2)</b>	<b>6415536</b>	<b>115000</b>	<b>6530536</b>	<b>47.15</b>	<b>6415536</b>	<b>115000</b>	<b>6530536</b>	<b>47.15</b>	<b>0</b>
Public Sharehold ing	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Share s	
<i>Institutions</i>									
Mutual Funds	0	0	0	0	0	0	0	0	0
Banks / FI									
Central Govt	0	0	0	0	0	0	0	0	0
State Govt(s)	0	0	0	0	0	0	0	0	0
Venture Capital Funds	0	0	0	0	0	0	0	0	0
Insurance Companies	0	0	0	0	0	0	0	0	0
FII's	0	0	0	0	0	0	0	0	0
Foreign Venture Capital Funds	0	0	0	0	0	0	0	0	0
Others (specify)	0	0	0	0	0	0	0	0	0
Sub-total (B)(1)	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>2. Non Institutions</b>									
<b>Bodies Corp.</b>									
<b>Individuals:</b>									
(i) Indian	1777454	49700	1827154	13.19	1776853	49700	1826553	13.20	0

(ii) Overseas	0	0	0	0	0	0	0	0	—
<b>(b) Individuals</b>									
(i) Individual shareholders holding nominal share capital upto Rs. 2 lakh	487464	1191911	1679375	12.13	491790	1191811	1683601	12.16	.03
(ii) Individual shareholders holding nominal share capital in excess of Rs. 2 lakh	3685283	100000	3785283	27.33	3681658	100000	3781658	27.30	-
(c) Others	0	0	0	0	0	0	0	0	0
(c-i) Non-Resident Individuals	1200	0	1200	0.01	1200	0	1200	0.01	0
(c-ii) Directors	0	0	0	0	0	0	0	0	0
(c-iii) Clearing Members	2	0	2	0.00	2	0	2	0.00	0
(c-iv)NBFC	150	26300	26450	0.19	150	26300	26450	0.19	0
<b>Sub-total (B)(2)</b>	<b>5951553</b>	<b>1367911</b>	<b>7319464</b>	<b>52.85</b>	<b>5951653</b>	<b>1318111</b>	<b>7270966</b>	<b>52.85</b>	<b>0</b>
<b>Total Public Shareholding (B)=(B)(1)+(B)(2)</b>	<b>5951553</b>	<b>1367911</b>	<b>7319464</b>	<b>52.85</b>	<b>5951653</b>	<b>1318111</b>	<b>7270966</b>	<b>52.85</b>	<b>0</b>
C. Shares held by Custodian for GDRs & ADRs	0	0	0	0	0	0	0	0	0
<b>Grand Total (A+B+C)</b>	<b>12367089</b>	<b>1482911</b>	<b>13850000</b>	<b>100</b>	<b>12367189</b>	<b>1482811</b>	<b>13850000</b>	<b>100</b>	<b>0</b>

**Category-wise Share Holding**

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	% Change during the year
<b>A. Promoter</b>									
<b>(1) Indian</b>									
Individual/ HUF	1272797	80000	1352797	9.77	1272797	80000	1352797	9.77	0
Central Govt	-	-	-	-	-	-	-	-	0
State Govt(s)	-	-	-	-	-	-	-	-	0
Bodies Corp	5052739	-	5177739	37.38	5142739	35000	5177739	37.38	0
Banks / FI	90000	35000	125000	0.90	-	-	-	-	0
Any Other	-	-	-	-	-	-	-	-	0
<b>Sub-total(A)(1):-</b>	<b>6415536</b>	<b>115000</b>	<b>6530536</b>	<b>47.15</b>	<b>6415536</b>	<b>115000</b>	<b>6530536</b>	<b>47.15</b>	<b>0</b>
<b>(2) Foreign</b>									

<b>NRIs- Individuals</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Other Individuals</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
								<b>0</b>	<b>0</b>	<b>0</b>
								<b>0</b>	<b>0</b>	<b>0</b>
								<b>0</b>	<b>0</b>	<b>0</b>
								<b>0</b>	<b>0</b>	<b>0</b>
								<b>0</b>	<b>0</b>	<b>0</b>
								<b>0</b>	<b>0</b>	<b>0</b>
								<b>0</b>	<b>0</b>	<b>0</b>
								<b>0</b>	<b>0</b>	<b>0</b>
								<b>0</b>	<b>0</b>	<b>0</b>
								<b>0</b>	<b>0</b>	<b>0</b>
<b>Total Shareholding Of Promoter (A) = (A)(1)+ (A)(2)</b>	<b>6415536</b>	<b>115000</b>	<b>6530536</b>	<b>47.15</b>	<b>6415536</b>	<b>115000</b>	<b>6530536</b>	<b>47.15</b>	<b>0</b>	<b>0</b>
Public Shareholding	Demat	Physical	Total	% of Total Shares	Dem at	Physical	Total	% of Total Shares		
<b>Institutions</b>										
Mutual Funds	0	0	0	0	0	0	0	0	0	0
Banks / FI										
Central Govt	0	0	0	0	0	0	0	0	0	0
State Govt(s)	0	0	0	0	0	0	0	0	0	0
Venture Capital Funds	0	0	0	0	0	0	0	0	0	0
Insurance Companies	0	0	0	0	0	0	0	0	0	0
FIs	0	0	0	0	0	0	0	0	0	0
Foreign Venture Capital Funds	0	0	0	0	0	0	0	0	0	0
Others (specify)	0	0	0	0	0	0	0	0	0	0
Sub-total (B)(1)	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Non Institutions</b>										
<b>Bodies Corp.</b>										
(i) Indian	1777454	49700	1827154	13.19	1776853	49700	1826553	13.20		0
(ii) Overseas	0	0	0	0	0	0	0	0		—
(b) Individuals										
(i) Individual shareholders holding nominal share upto Rs. 2 lakh	487464	1191911	1679375	12.13	491790	1191811	1683601	12.16		0
(ii) Individual shareholders holding nominal share capital in excess of Rs. 2 lakh	3685283	100000	3785283	27.33	3681658	100000	3781658	27.30		0
(c) Others	0	0	0	0	0	0	0	0		0
(c-i) Non-Resident Individuals	1200	0	1200	0.01	1200	0	1200	0.01		0
(c-ii) Directors	0	0	0	0	0	0	0	0		0
(c-iii) Clearing Members	2	0	2	0.00	2	0	2	0.00		0
(c-iv) NBFC	150	26300	26450	0.19	150	26300	26450	0.19		0
(c-v) Bodies Corporate	0	0	0	0	150000	0	150000	0		0
Sub-total (B)(2)	<b>5951553</b>	<b>1367911</b>	<b>7319464</b>	<b>52.85</b>	<b>5951653</b>	<b>1318111</b>	<b>7270966</b>	<b>52.85</b>	<b>0</b>	<b>0</b>
<b>Total Public Shareholding (B)=(B)(1)+(B)(2)</b>	<b>5951553</b>	<b>1367911</b>	<b>7319464</b>	<b>52.85</b>	<b>5951653</b>	<b>1318111</b>	<b>7270966</b>	<b>52.85</b>	<b>0</b>	<b>0</b>
C. Shares held by Custodian for GDRs & ADRs	0	0	0	0	0	0	0	0		0
<b>Grand Total (A+B+C)</b>	<b>12367089</b>	<b>1482911</b>	<b>13850000</b>	<b>100</b>	<b>12367189</b>	<b>1482811</b>	<b>13850000</b>	<b>100</b>	<b>0</b>	<b>0</b>

**Promoter's Shareholding**

Sr. No	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No. of Shares	% of total Shares of the Company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares of the Company	% of Shares Pledged / encumbered to total shares	
1.	Prabha Rani Agrawal	50000	0.36	Nil	50000	0.36	Nil	Nil
2.	Saptrishi Finance Limited	35000	0.25	Nil	35000	0.25	Nil	Nil
3.	Deepti Agrawal	518942	3.75	Nil	518942	3.75	Nil	Nil
4.	Rashmi Kant Mittal	20000	0.14	Nil	20000	0.14	Nil	Nil
5.	Sanjeev Agrawal	669036	4.83	Nil	669036	4.83	Nil	Nil
6.	Fortune Industrial Resources Limited	90000	0.65	Nil	90000	0.65	Nil	Nil
7.	HAL Offshore Limited	2586434	18.67	Nil	2586434	18.67	Nil	Nil
8.	Hindustan Aqua Private Limited	1000000	7.22	Nil	1000000	7.22	Nil	Nil
9.	Moon Beverages Limited	1466305	10.59	Nil	1466305	10.59	Nil	Nil
10.	Ashok Saxena	20000	0.14	Nil	20000	0.14	Nil	Nil
11.	Anant Agrawal	74819	0.54	Nil	74819	0.54	Nil	Nil
	<b>TOTAL</b>	<b>6530536</b>	<b>47.15</b>	<b>Nil</b>	<b>6530536</b>	<b>47.15</b>	<b>Nil</b>	<b>Nil</b>

**Change in Promoters' Shareholding (please specify, if there is no change)**

Sr. No.	Shareholder's Name	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the Company	No. of shares	% of total shares of the Company
1		NOT APPLICABLE			

**Shareholding Pattern of top ten shareholders:**

Sr. no	For each of the top ten shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the Company	No. of shares	% of total shares of the Company
1	HAL Offshore Limited	2586434	18.67%	2586434	18.67%
2	Jay Polychem (India) Limited	1500000	10.83%	1500000	10.83%
3	Moon Beverages Limited	1466305	10.58%	1466305	10.58%
4	Hindustan Aqua Private Limited	1000000	7.22%	1000000	7.22%
5	Sanjeev Agrawal	669036	4.83%	669036	4.83%
6.	Mrs. Deepti Agrawal	518942	3.75%	518942	3.75%
7.	Mr. Neeraj Singal	450000	3.25%	450000	3.25%
8.	Neeraj Singal(HUF)	450000	3.25%	450000	3.25%
9.	Mr. Brij Bhushan Singal	450000	3.25%	450000	3.25%
10.	Brij Bhushan Singal(HUF)	450000	3.25%	450000	3.25%

**SHAREHOLDING OF DIRECTORS AND KEY MANAGERIAL PERSONNEL: NIL**

Sr. no	For each of the directors and Key Managerial Personnel	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the Company	No. of shares	% of total shares of the Company
		NIL			

**INDEBTEDNES: Indebtedness of the Company including interest outstanding/accrued but not due for payment:**

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year	3502977	-	-	3502977
i) Principal Amount				
ii) Interest due but not paid				
iii) Interest accrued but not				
Total (i+ii+iii)	3502977	-	-	3502977
Change in Indebtedness during the financial year				
- Addition	7441482	-	-	7441482
- Reduction				
Net Change	7441482	-	-	7441482
Indebtedness at the end of the financial year				
i) Principal Amount	10944459	-	-	10944459
ii) Interest due but not paid				
iii) Interest accrued but not due				
Total (i+ii+iii)	10944459	-	-	10944459

**REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**A. Remuneration to Managing Director, Whole-time Directors and/or Manager**

Sl. No.	Particulars of Remuneration	Name of MD/WTD/ Manager	Total Amount
	Gross salary (a) Salary as per provisions contained in section 17(1) of the Income-tax Act,1961	Mr. Kamal Agarwal (Managing Director)	3,00,000
	(b) Value of perquisites u/s 17(2) Income-tax Act,1961	25,000 per month	00
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	00	00
	Total (A)	25,000	25,000
	Ceiling as per the Act		5% of Net Profit of the Company READ WITH SCHEDULE V

**B. Remuneration to other Directors:**

Sl. No	Particulars of Remuneration	Name Of Independent Directors	Total Amount
		Mr. Vijay Kumar Gupta	
		Ms. Divya Mehrotra	
	Independent Directors · Fee for attending board committee meetings	11000	11000
	Total (1)	11000	11000
	Total Managerial Remuneration		
	Overall Ceiling as per the Act		Rs. Not exceeding 1 lakh

**C. Remuneration to Key Managerial Personnel Other Than MD /Manager /WTD**

Sl. no.	Particulars of Remuneration	Key Managerial Personnel		
		Mr. Raushan Kr. Sharma CFO(01.04.2019 31.03.2020)	Ms. Megha Rastogi – Company Secretary (01.04.2019- 31-03-2020)	Total
	• Gross salary (a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961 (b) Value of perquisites u/s 17(2) Income-tax Act, 1961 (c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	Rs. 6,12,000	Rs. 3,60,000	9,72,000.00
	• Others, please specify	Nil	Nil	
	• <b>Total</b>	<b>Rs. 6,12,000</b>	<b>Rs. 3,60,000</b>	<b>9,72,000.00</b>

**PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:**

There were no penalties / punishment / compounding of offences for breach of any section of Companies Act, 2013 against the Company or its Directors or other Officers in default, if any, during the year.